



# S. Royan  
3 7/20/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

RECEIVED

JUL 10 2002

Toru Hosoi

Technology Center 2600

Serial No.: 09/892,500

Group Art Unit: 2633

Filing Date: June 28, 2001

Examiner: Unknown

For: ARRAYED WAVEGUIDE GRATING AND OPTICAL COMMUNICATION  
SYSTEM USING ARRAYED WAVEGUIDE GRATING

Assistant Commissioner of Patents  
Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

RECEIVED  
JUL 12 2002  
TECHNOLOGY CENTER 2600

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the United Kingdom Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

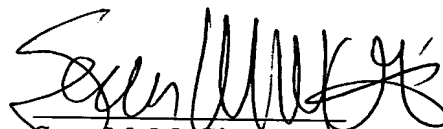
It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Date:

7/9/02

  
Sean M. McGinn, Esq.  
Registration No. 34,386

**McGinn & Gibb, PLLC**  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
**Customer No. 21254**

**RECEIVED**  
JUL 10 2002  
Technology Center 2600